

THE MONOID $\langle a, b \mid aba \Leftrightarrow aa, baa \Leftrightarrow aab \rangle$ HAS NO FINITE COMPLETE PRESENTATION

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ABSTRACT. This article describes a monoid with only two defining relations, but no finite complete presentation over any alphabet. This improves upon what is perhaps the previous smallest known example of three rules.

1. INTRODUCTION

The *word problem* is central to the theory of finitely-presented monoids:

The word problem. Given a finite monoid presentation $\langle A \mid R \rangle$ and two words x, y over this alphabet A , can we rewrite x into y by applying a finite sequence of rules from R ?

The word problem is undecidable in the general case [1]. On the other hand, with a *complete* monoid presentation, it suffices to view the rewrite rules as directed reductions, which are repeatedly applied to a word until fixed point. We can then solve the word problem by computing the normal form of both words, and checking for string equality [2]. Various other questions are decidable from a finite complete presentation, such as whether the presented monoid is finite, or a group [3].

The *Knuth-Bendix algorithm* attempts to construct a complete presentation by adding new rules [4, 5, 6]. This either ends with a finite complete presentation, or continues forever. A successful outcome depends both on choice of reduction order, and the alphabet used to present the monoid [7, 8]. It is also known that there are finitely-presented monoids with decidable word problem, but no finite complete presentation over any alphabet. Section 2 starts off with a survey of existing results in this space, Section 3 fixes notation for what follows, and Section 4 contains the main result that $\langle a, b \mid aba \Leftrightarrow aa, baa \Leftrightarrow aab \rangle$ has no finite complete presentation.

2. RELATED WORK

Example 1. A monoid with an undecidable word problem cannot have a finite complete presentation. Tseitin's classic example has 5 letters and 7 rules [9, 10]:

$$\begin{aligned} \mathfrak{C}_1 := \langle a, b, c, d, e \mid & ac \Leftrightarrow ca, ad \Leftrightarrow da, bc \Leftrightarrow cb, bd \Leftrightarrow db, \\ & eca \Leftrightarrow ce, edb \Leftrightarrow de, \\ & cca \Leftrightarrow ccae \rangle \end{aligned}$$

Example 2. A result due to Craig C. Squier is that a monoid with a finite complete presentation must satisfy FP_3 , a property whose definition we do not need. Squier showed that S_k has a decidable word problem, but not FP_3 when $k \geq 2$ [11]:

$$\begin{aligned} S_k := \langle a, b, t, x_1, \dots, x_k, y_1, \dots, y_k \mid & ab \Leftrightarrow 1, \\ & x_1 a \Leftrightarrow atx_1, \quad \dots, \quad x_k a \Leftrightarrow atx_k, \\ & x_1 t \Leftrightarrow tx_1, \quad \dots, \quad x_k t \Leftrightarrow tx_k, \\ & x_1 b \Leftrightarrow bx_1, \quad \dots, \quad x_k b \Leftrightarrow bx_k, \\ & x_1 y_1 \Leftrightarrow 1, \quad \dots, \quad x_k y_k \Leftrightarrow 1 \rangle \end{aligned}$$

Example 3. Squier settled the status of S_1 in a subsequent paper, by showing that if a monoid has a finite complete presentation, it also has a property that he named *finite derivation type*, while S_1 , with 5 letters and 5 rules, is not FDT. Thus, it has no finite complete presentation [12]:

$$S_1 := \langle a, b, t, x, y \mid ab \Leftrightarrow 1, xa \Leftrightarrow atx, xt \Leftrightarrow tx, xb \Leftrightarrow bx, xy \Leftrightarrow 1 \rangle$$

We do not require the definition of FDT for the main proof, but a few more sources are cited for the interested reader. It is known that FDT implies FP_3 [13].

Example 4. A key fact is that FDT is not a *sufficient* condition for a monoid to admit a finite complete presentation. Katsura and Kobayashi gave an example with 10 letters and 7 rules, having a word problem decidable in linear time, finite derivation type, but still no finite complete presentation [14]:

$$\begin{aligned} \langle a, b_1, c_1, d_1, b_2, c_2, d_2, b_3, c_3, d_3 \mid & b_1a \Leftrightarrow ab_1, b_2a \Leftrightarrow ab_2, b_3a \Leftrightarrow ab_3, \\ & c_1b_1 \Leftrightarrow c_1b_1, c_2b_2 \Leftrightarrow c_1b_1, \\ & b_1d_1 \Leftrightarrow b_1d_1, b_2d_2 \Leftrightarrow b_1d_1 \rangle \end{aligned}$$

Our result was heavily inspired by some of the techniques here, in particular looking at the properties of $\text{IRR}(R)$. In their terminology, $\langle a, b \mid aba \Leftrightarrow aa, baa \Leftrightarrow aab \rangle$ does not admit a *finite s-closed transversal*.

Example 5. Cain et. al. found an example with only 3 letters and 3 rules. This monoid is not FDT, and thus has no finite complete presentation [15]:

$$\langle a, b, c \mid ac \Leftrightarrow ca, bc \Leftrightarrow cb, cab \Leftrightarrow cbb \rangle$$

This is the shortest example the author of this article has seen in the literature. It is also notable for it is *homogeneous* (presented by length-preserving rules).

Example 6. It is not known if every one-relation monoid has a finite complete presentation, or if the word problem is decidable for all such monoids. An excellent survey of this subject appears in [16], from which we quote:

... the smallest monadic one-relation monoid to which no result in the literature appears to be available to solve the word problem for is $\langle a, b \mid bababbbabba \Leftrightarrow a \rangle$. The author has not found a finite complete rewriting system for this monoid, but has solved the word problem for this monoid by other means.

Here is a finite complete presentation of $\langle a, b \mid bababbbabba \Leftrightarrow a \rangle$ over $\{a, b, c\}$:

		$bababbbabba$	\Rightarrow	a				
caa	\Rightarrow	$bacca$	cac	\Rightarrow	$baccc$	cba	\Rightarrow	a
$bbaa$	\Rightarrow	$abba$	$acabc$	\Rightarrow	$abacc$	$bbaaa$	\Rightarrow	$aabba$
$bbaac$	\Rightarrow	$aabbc$	$cabaa$	\Rightarrow	$babacca$	$ababac$	\Rightarrow	$cccccc$
$acabaa$	\Rightarrow	$ccccccca$	$acabac$	\Rightarrow	$cccccccc$	$acabba$	\Rightarrow	$abaa$
$acabbc$	\Rightarrow	$abac$	$bbaaca$	\Rightarrow	$aaba$	$bbababc$	\Rightarrow	$abbbabc$
$cababbc$	\Rightarrow	$babac$	$cabacca$	\Rightarrow	$babacccca$	$ababbbac$	\Rightarrow	$cccc$
$abbbaaca$	\Rightarrow	$abaaba$	$abbbaacc$	\Rightarrow	$abaabc$	$acababbc$	\Rightarrow	$cccccc$
$bbababbc$	\Rightarrow	$abbbab$	$bbacccca$	\Rightarrow	$acca$	$bbaccccc$	\Rightarrow	$accc$

Every one-relation monoid is FDT [17].

3. PRELIMINARIES

This article assumes some familiarity with finitely-presented monoids and string rewriting; a complete (no pun intended) treatment of the subject can be found in [18]. This section will summarize the notation and terminology that we will need, but it is too dense to serve as an introduction to the topic.

Definition 1. A *monoid* is a set with an associative binary operation and identity element. If A is any set, the *free monoid* A^* is the set of all finite sequences of elements of A .

- The *length* of $x \in A^*$ is denoted by $|x| \geq 0$.
- An $x \in A$ is a *letter* and an $x \in A^*$ is a *word*.
- The unique *empty word* of length 0 is denoted by 1.
- We view each letter $x \in A$ as a word of length 1 in A^* .
- The concatenation of words x and y is denoted xy or $x \cdot y$.
- A word u is a *factor* of a word w if $w = xuy$ for some $x, y \in A^*$.
- The equality operator $=$ denotes graphical equality of words in A^* .
- A *morphism* of free monoids $\phi: A^* \rightarrow B^*$ satisfies $\phi(x \cdot y) = \phi(x) \cdot \phi(y)$ for all $x, y \in A^*$. A morphism is completely determined by the image of each letter $a \in A$. If $\phi(a) \neq 1$ for all $a \in A$, we say ϕ is *non-erasing*.

A *monoid presentation* is a pair $\langle A \mid R \rangle$, where A is a set, and $R \subset A^* \times A^*$ is a set of ordered pairs of words. A presentation is *finite* if A and R are finite sets.

- The *one-step monoid congruence* \Leftrightarrow_R^1 on A^* relates all pairs of words:
$$xuy \Leftrightarrow_R^1 xvy \quad \text{where } x, y \in A^*, \text{ and either } (u, v) \text{ or } (v, u) \in R$$
- The *monoid congruence* \Leftrightarrow_R is the reflexive and transitive closure of \Leftrightarrow_R^1 .
- The equivalence classes of \Leftrightarrow_R then have the structure of a monoid, with identity element $\llbracket 1 \rrbracket$ and binary operation $\llbracket x \rrbracket \cdot \llbracket y \rrbracket := \llbracket x \cdot y \rrbracket$.
- A *finitely-presented monoid* is one that is isomorphic to a monoid obtained in this way, from a finite presentation.

We also need to consider rewriting steps that only apply a rule from left to right:

- The *one-step reduction relation* \Rightarrow_R^1 on A^* relates all pairs of words:
$$xuy \Rightarrow_R^1 xvy \quad \text{where } x, y \in A^*, \text{ and } (u, v) \in R$$
- The *reduction relation* \Rightarrow_R is the reflexive and transitive closure of \Rightarrow_R^1 .
- The reduction relation \Rightarrow_R is *terminating* if there is no infinite sequence of one-step reductions:

$$x_1 \Rightarrow_R^1 x_2 \Rightarrow_R^1 x_3 \Rightarrow_R^1 \dots$$

- The reduction relation \Rightarrow_R is *confluent* if whenever $x \Rightarrow_R y$ and $x \Rightarrow_R z$, there exists a word $w \in A^*$ such that $y \Rightarrow_R w$ and $z \Rightarrow_R w$.
- A word $x \in A^*$ is *irreducible* if $x \Rightarrow_R y$ implies that $x = y$.
- The set of irreducible words of \Rightarrow_R is denoted by $\text{IRR}(R)$.
- If y is irreducible and $x \Rightarrow_R y$, we say that y is a *normal form* for x .

A monoid presentation $\langle A \mid R \rangle$ is *complete* if \Rightarrow_R is terminating and confluent. A *finite complete presentation* is one that is both finite, and complete. In this case, every equivalence class of \Leftrightarrow_R has an effectively computable, unique normal form.

Definition 2. Let A^* be the free monoid over some set A . We define the family of *regular* subsets of A^* as follows:

- (1) If $X \subset A^*$ is a finite set of words, then X is regular.
- (2) If $X, Y \subset A^*$ are regular, their union $X \cup Y$ is regular.
- (3) If $X, Y \subset A^*$ are regular, their concatenation XY is regular. This is the set of all words xy where $x \in X$ and $y \in Y$.
- (4) We write X^n to mean $X \cdots X$, repeated n times, with $X^0 := \{1\}$.
- (5) If $X \subset A^*$ is regular, then X^* is regular. This is the infinite union of X^n over all $n \geq 0$:

$$X^* := \{1\} \cup X \cup XX \cup XXX \cdots$$

- (6) It is convenient to define $X^+ := XX^* = X^* \setminus \{\{1\}\}$.

We rely on these two well-known closure properties. For proof, we refer to [19]:

- If X is regular, the complement $A^* \setminus X$ is regular.
- If X is regular, the set formed by reversing each word in X is regular.

Next, we need these properties of $\text{IRR}(R)$, the set of irreducible words of \Rightarrow_R .

Lemma 3. Let $\langle A \mid R \rangle$ be any monoid presentation.

- (1) If $x \notin \text{IRR}(R)$ and $y \in A^*$, then $xy \notin \text{IRR}(R)$ and $yx \notin \text{IRR}(R)$.
- (2) If $xy \in \text{IRR}(R)$, then $x \in \text{IRR}(R)$ and $y \in \text{IRR}(R)$.
- (3) If R is finite, then $\text{IRR}(R)$ is regular.

Proof. If $x \notin \text{IRR}(R)$, then x has a factor u for some $(u, v) \in R$. Thus, for any $y \in A^*$, both xy and yx also have a factor of u , so $xy \notin \text{IRR}(R)$ and $yx \notin \text{IRR}(R)$. This is (1), and (2) is the contrapositive statement. For (3), suppose that R is finite, and let $n := |R|$. The complement of $\text{IRR}(R)$ in A^* is the regular set of all words that contain a left-hand side of R as a factor:

$$A^* \setminus \text{IRR}(R) = (A^* \cdot \{u_1\} \cdot A^*) \cup \dots \cup (A^* \cdot \{u_n\} \cdot A^*)$$

It follows that $\text{IRR}(R)$ is a regular set. \square

We also use the pumping lemma for regular sets. A proof can be found in [19].

Lemma 4 (Pumping lemma). Let $X \subseteq A^*$ be a regular set. Then there exists a natural number $\ell > 0$ such that any word $u \in X$ with $|u| \geq \ell$ has a factorization:

$$u = xyz$$

with the property that $|y| > 0$, $|xy| \leq \ell$, and for all $n \geq 0$:

$$xy^n z \in X$$

Remark 5. When X is finite, Lemma 4 is vacuously true; we take ℓ to be longer than the longest word in X .

Now, suppose we have an $u \in X$ with $|u| \geq \ell$. The above statement yields a factorization with $|x| \geq 0$ and $|z| \geq |u| - \ell$. Since the family of regular sets is closed under reversal, there is a dual statement to the above, which produces a factorization where $|yz| \leq \ell$ instead, so $|x| \geq |u| - \ell$ and $|z| \geq 0$. Our proof uses the “prefix” and “suffix” form of the lemma, once each.

We’re going to apply the pumping lemma to the irreducible words of a finite complete presentation. The following fact will then become relevant:

Lemma 6. Let $\langle A \mid R \rangle$ be any monoid presentation. If $xy \in \text{IRR}(R)$, $yz \in \text{IRR}(R)$, but $xyz \notin \text{IRR}(R)$, then there must exist a rule $(u, v) \in R$ with $|u| \geq |y|$.

Proof. Since $xyz \notin \text{IRR}(R)$, we can write $xyz = x'uz'$ where u is the left-hand side of a rule in R , and $x', y' \in A^*$. Suppose that to the contrary, $|u| < |y|$. Either $|xy| \geq |x'u|$, or $|xy| < |x'u|$. If $|xy| \geq |x'u|$, then $xy \in \text{IRR}(R)$ has a prefix $x'u \notin \text{IRR}(R)$, which is impossible. On the other hand, if $|xy| < |x'u|$, then $|z| \geq |z'|$, and now $uz' \notin \text{IRR}(R)$ is a suffix of $yz \in \text{IRR}(R)$, which is again impossible. So $|u| \geq |y|$. \square

4. THE MONOID $\langle a, b \mid aba \Leftrightarrow aa, baa \Leftrightarrow aab \rangle$

Henceforth, A and R will always denote the alphabet and rules of our monoid presentation $\langle a, b \mid aba \Leftrightarrow aa, baa \Leftrightarrow aab \rangle$:

$$A := \{a, b\}$$

$$R := \{(aba, aa), (baa, aab)\}$$

The monoid congruence generated by R will be denoted by \Leftrightarrow .

When we construct certain regular sets below, we will let a and b denote the singleton sets $\{a\}$ and $\{b\}$, so for example, $b^* \cup b^*a$ is the set of all words of the form b^n or b^na , for all $n \geq 0$.

Lemma 7. We make use of four facts about \Leftrightarrow :

- (1) If $x \Leftrightarrow aab^n$, then $x = b^i aab^{n-i}$ or $x = b^i abab^{n-i}$, for some $0 \leq i \leq n$.
- (2) Let \tilde{x} denote the reversal of $x \in A^*$. Then $x \Leftrightarrow y$ if and only if $\tilde{x} \Leftrightarrow \tilde{y}$.
- (3) Every word in $b^* \cup b^*a$ is a singleton equivalence class of \Leftrightarrow .
- (4) For all $n \geq 0$, we have $b^n aaa \Leftrightarrow aaa$.

Proof. For (1), we apply $baa \Leftrightarrow aab$ and $aba \Leftrightarrow aa$. For (2), it suffices to note that for each $(u, v) \in R$, either $(u, v) = (\tilde{u}, \tilde{v})$, or $(u, v) = (\tilde{v}, \tilde{u})$. For (3), suppose that $x \Leftrightarrow y$, and $x \neq y$. Then x must contain a factor aa or aba . No word in the stated set has such a factor. For (4), the statement holds trivially when $n = 0$, so assume that $n > 0$. We apply $baa \Leftrightarrow aab$ to the leftmost b , and then do $aba \Leftrightarrow aa$, showing that $b^n aaa \Leftrightarrow b^{n-1} aaba \Leftrightarrow b^{n-1} aaa$. The conclusion follows by induction. \square

We have everything we need to prove the main result.

Theorem 1. *The monoid $\langle a, b \mid aba \Leftrightarrow aa, baa \Leftrightarrow aab \rangle$ does not have a finite complete presentation over any alphabet.*

Proof. Assume that $\langle C \mid S \rangle$ is a finite complete presentation of $\langle A \mid R \rangle$. We use the lowercase Greek alphabet to denote words in C^* .

We need a little bit of notation for working with $\langle C \mid S \rangle$. We fix a “decoding” morphism Ψ from C^* to A^* , such that $\alpha \Leftrightarrow_S \beta$ if and only if $\Psi(\alpha) \Leftrightarrow \Psi(\beta)$:

$$\Psi: C^* \rightarrow A^*$$

We assume that no letter of C decodes to the empty word in A^* , since any such letter can be removed from the presentation. Thus, Ψ is non-erasing.

Finally, we define a function Λ that takes a word in A^* , encodes it over C^* , and computes the \Rightarrow_S -normal form, which produces a word in $\text{IRR}(S) \subseteq C^*$:

$$\Lambda: A^* \rightarrow \text{IRR}(S)$$

So $\Lambda(x) = \Lambda(y)$ if and only if $x \Leftrightarrow y$, and $\Psi(\Lambda(x)) \Leftrightarrow x$, for all $x, y \in A^*$.

Since S is finite, $\text{IRR}(S)$ is regular by Lemma 3. Let $\ell > 0$ be the smallest natural number such that Lemma 4 applies to any word $\lambda \in \text{IRR}(S)$ with $|\lambda| \geq \ell$.

The basic idea is that we apply the pumping lemma to $\Lambda(aab^n)$, and one of $\Lambda(b^m a)$ or $\Lambda(ab^m)$; we then “connect” them in a certain way, to get a sequence of distinct irreducible words that all decode to a word equivalent to aaa .

First, consider the normal form of aab^n , where $n \geq 0$. By part 1 of Lemma 7, there is an $0 \leq i \leq n$ such that:

$$\Psi(\Lambda(aab^n)) = b^i aab^{n-i} \quad \text{or} \quad \Psi(\Lambda(aab^n)) = b^i abab^{n-i}$$

Now, we can choose a large $n > 0$ such that $\Lambda(aab^n)$ has a prefix or suffix of length at least ℓ that decodes to a word in b^+ by Φ . We then write:

$$\Lambda(aab^n) = \alpha\beta \quad \text{or} \quad \Lambda(aab^n) = \beta\alpha$$

where $\Psi(\alpha) \in b^* aab^* \cup b^* abab^*$, $\Psi(\beta) \in b^+$, and $|\beta| \geq \ell$. We consider the first case where $\Lambda(aab^n) = \alpha\beta$; the other direction is symmetric, by part 2 of Lemma 7. We apply the pumping lemma “at the end” of $\alpha\beta \in \text{IRR}(S)$. This yields a factorization:

$$\alpha\beta = \alpha\beta_0\beta_1\beta_2 \in \text{IRR}(S)$$

with the property that $|\beta_0| \geq 0$, $|\beta_1| > 0$, $|\beta_1\beta_2| \leq \ell$, and for all $k \geq 0$:

$$\alpha\beta_0\beta_1^k\beta_2 \in \text{IRR}(S)$$

Now, $\text{IRR}(S)$ is closed under taking prefixes by Lemma 3, so we can drop β_2 :

$$(1) \quad \alpha\beta_0\beta_1^k \in \text{IRR}(S)$$

Also, note that $\Psi(\beta_0) \in b^*$, $\Psi(\beta_1) \in b^+$.

Next, consider the normal form of $b^m a$, where $m \geq 0$. (If $\Lambda(aab^n) = \beta\alpha$ above, we would be looking at ab^m instead.) This is a singleton equivalence class of \Leftrightarrow , by part 3 of [Lemma 7](#), so $\Psi(\Lambda(b^m a))$ is identically equal to $b^m a$. We choose m large enough so that $|\Lambda(b^m a)| \geq \ell + 1$. Now, since Ψ is non-erasing, we can write:

$$\Lambda(b^m a) = \delta\gamma$$

where $\Psi(\delta) \in b^+$, $\Psi(\gamma) \in b^* a$, and $\gamma \in C$ is a single letter, thus $|\delta| \geq \ell$. We apply the pumping lemma again, this time “at the start” of $\delta\gamma$. This yields a factorization:

$$\delta\gamma = \delta_2 \delta_1 \delta_0 \gamma \in \text{IRR}(S)$$

with the property that $|\delta_1| > 0$, $|\delta_2 \delta_1| \leq \ell$, and for all $k \geq 0$:

$$\delta_2 \delta_1^k \delta_0 \gamma \in \text{IRR}(S)$$

Now, $\text{IRR}(S)$ is closed under taking suffixes, so again we drop δ_2 :

$$(2) \quad \delta_1^k \delta_0 \gamma \in \text{IRR}(S)$$

Also, $\Psi(\delta_0) \in b^*$, $\Psi(\delta_1) \in b^+$.

All that remains is to connect the two pumps. There exist $i, j > 0$ such that:

$$\Psi(\beta_1) = b^i$$

$$\Psi(\delta_1) = b^j$$

Thus $\Psi(\beta_1^j) = \Psi(\delta_1^i)$, so $\beta_1^j \Leftrightarrow_S \delta_1^i$, but both are irreducible, so $\beta_1^j = \delta_1^i$. We set:

$$(3) \quad \zeta := \beta_1^j = \delta_1^i$$

Taking (1), (2), and (3) together, we see that for all $k \geq 0$:

$$\alpha \beta_0 \zeta^k \in \text{IRR}(S)$$

$$\zeta^k \delta_0 \gamma \in \text{IRR}(S)$$

Furthermore, S is finite, so by [Lemma 6](#), for all sufficiently large $k > 0$:

$$(4) \quad \alpha \beta_0 \zeta^k \delta_0 \gamma \in \text{IRR}(S)$$

We’re almost done. Let’s apply Ψ to each factor of the above word. We recall that:

$$\Psi(\alpha) \in b^* aab^* \cup b^* abab^*$$

$$\Psi(\beta_0) \in b^*$$

$$\Psi(\zeta^k) \in b^+$$

$$\Psi(\delta_0) \in b^*$$

$$\Psi(\gamma) \in b^* a$$

We concatenate our regular sets, to form the statement:

$$\Psi(\alpha \beta_0 \zeta^k \delta_0 \gamma) \in b^* aab^+ a \cup b^* abab^+ a$$

This is to say, for each $k > 0$, there exist $i \geq 0, j > 0$ such that:

$$\Psi(\alpha \beta_0 \zeta^k \delta_0 \gamma) = b^i aab^j a$$

or

$$\Psi(\alpha \beta_0 \zeta^k \delta_0 \gamma) = b^i abab^j a$$

We have yet to use part 4 of [Lemma 7](#), and this is exactly where we need it:

$$b^i abab^j a \Leftrightarrow b^i aab^j a \Leftrightarrow b^{i+j} aaa \Leftrightarrow aaa$$

So in fact:

$$(5) \quad \Psi(\alpha \beta_0 \zeta^k \delta_0 \gamma) \Leftrightarrow aaa$$

We have our contradiction, because (4) and (5) cannot both be true. \square

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